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DOCKET NO. CRD 02384

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Michael E. Ring

Serial No.: 09/399,412 ✓

Group No.: 3661

Filed: September 20, 1999

Examiner: Brian Broadhead

For: A METHOD OF AND AN APPARATUS FOR ENHANCING THE BRAKING
EFFICIENCY OF A RAILWAY FREIGHT TRAIN CONSIST

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

AMENDMENT TRANSMITTAL

1. Transmitted herewith is an Amendment for this application.

STATUS

2. Applicant is

☐ a small entity

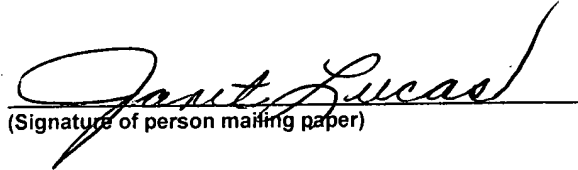
☒ other than a small entity.

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Janet Lucas
(Type or print name of person mailing paper)

Date: August 10, 2006


(Signature of person mailing paper)

EXTENSION OF TIME

NOTE: "Extension of Time In Patent Cases (Supplemental Amendments)--If a timely and complete response has been filed after a Non Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34.35).

NOTE: See 37 CFR 1.645 for extensions of time in interference proceedings and 37 CFR 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply

(complete (a) or (b) as applicable)

- (a) ☐ Applicant petitions for an extension of time under 37 CFR 1.17(a) for the total number of months checked below:

	Extension (months)	Fee for other than <u>small entity</u>	Fee for <u>small entity</u>
<input type="checkbox"/>	one month	\$ 120.00	\$ 60.00
<input type="checkbox"/>	two months	\$ 450.00	\$225.00
<input type="checkbox"/>	three months	\$1,020.00	\$510.00

Fee \$ _____

If an additional extension of time is required please consider this a petition therefore.

(check and complete the next item, if applicable)

- ☐ An extension for ___ months has already been secured and the fee paid therefore of \$_____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$

OR

- (b) ☒ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE FOR CLAIMS

4. The fee for claims (37 CFR 1.16(b)(d)) has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3)		SMALL ENTITY		OTHER THAN SMALL ENTITY	
CLAIMS REMAINING AFTER AMENDMENT		PREVIOUSLY PAID FOR		HIGHEST NO. PRESENT EXTRA		RATE	ADDN. FEE	OR	ADDN. FEE
TOTAL ♦	19	MINUS ♦♦	19	-	0	x 25=	\$		x50= \$
INDEP. ♦	2	MINUS ♦♦♦	2	-	0	x100=	\$		x200= \$
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM						+130=	\$		+260= \$
						TOTAL \$		OR	TOTAL \$

ADDN. FEE \$ _____

- ♦ If the entry in Col. 1 is less than entry in Col. 2 write "0" in Col. 3.
 - ♦♦ If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".
 - ♦♦♦ If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".
- The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

(complete (a) or (b) as applicable)

(a) ☐ No additional fee for claims is required.

OR

(b) ☐ Total additional fee for claims required \$ _____.

FEE PAYMENT

5. ☐ Attached is check no. _____ in the sum of \$_____ to cover the Extension of Time Fee.
- ☐ Payment by credit card. Form PTO-2038 is attached to cover the \$_ extra claim fee.

FEE DEFICIENCY

NOTE: If there is a fee deficiency and there is non authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, 1065 O.G. 31-33.

6. ☐ If any additional extension and/or fee is required, charge Account No. _____.

AND/OR

[] If any additional fee for claims is required, charge Account No. _____.


SIGNATURE OF AGENT

Michele Yoder.
Type or print name of Agent
Registration No.: 41,562

JAMES RAY & ASSOCIATES
2640 PITCAIRN ROAD
MONROEVILLE, PA 15146

TELEPHONE: 412-380-0725
FACSIMILE: 412-380-0748



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 3661
Ring, Michael) Examiner: Broadhead, Brian
Filed: September 20, 1999) Attorney Docket: CRD 02384
Serial No.: 09/399412)
For: A METHOD OF AND AN) Date: August 9, 2006
APPARATUS FOR ENHANCING)
THE BRAKING EFFICIENCY OF)
A RAILWAY FREIGHT TRAIN)
CONSIST)

MAILSTOP: AMENDMENT/DRAWINGS

HON. COMMISSIONER OF
PATENTS AND TRADEMARKS
WASHINGTON, D.C. 20231

DRAWINGS/AMENDMENT

Sir:

Applicant acknowledges that a Notice Regarding Drawings dated June 12, 2006, pertaining to the above referenced patent application has been received. In such notice, the draftsman objected to the charts as not being labeled separately. Enclosed for your review is a new set of drawings in accordance with the Draftsman's Notice.

In such notice, the draftsman also noted that amendments to the specification might be required to correspond with the drawing changes. As a result of these changes, please amend the application as follows.